

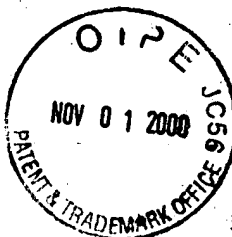


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/596,507	06/19/2000	Horst Peschel	4070-174US (K400417US)

000570  
AKIN GUMP STRAUSS HAUER & FELD LLP  
ONE COMMERCE SQUARE  
2005 MARKET STREET SUITE 2200  
PHILADELPHIA, PA 19103



## FORMALITIES LETTER



\*OC000000005361126\*

Date Mailed: 08/29/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$90.
  - \$90 for 5 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 910.

A copy of this notice MUST be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/02/2000 H0000072 501017 09596507  
01 FC:201 355.00 BP BP  
02 FC:205 65.00 BP BP  
03 FC:203 35.00 BP BP  
10.00 CH

see eton  
8

Whereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C., 20231, on the date indicated below.

BY: Sheryl R. Neumann

DATE: October 30, 2000

PATENT

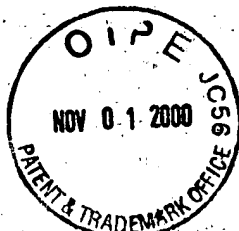
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re: Patent Application of  
Horst Peschel

Appln. No.: 09/596,507

Filed: June 19, 2000

For: BRAIN TISSUE DERIVED FROM  
NEURONAL PRECURSOR CELLS,  
IT'S USE AS TRANSPLANT AND A  
METHOD OF PREPARATION THEREOF



: Attention:  
: **Box Missing Parts**  
: Group Art Unit: 1645  
: Attorney Docket  
: No. **4070-174US**  
: **(K400417US)**

**TRANSMITTAL OF MISSING PARTS OF APPLICATION**  
**FILING DATE GRANTED**

Submitted herewith are the following for the above-identified application:

- ☒ [X] A copy of the Notice to File Missing Parts of Application -- Filing Date Granted, mailed August 29, 2000.
- ☐ [ ] No Notice to File Missing Parts has been received.
- ☒ [X] Declaration and Power of Attorney.
- ☒ [X] Verified Statement Claiming Small Entity Status under 37 C.F.R. § 1.9 and 1.27.
- ☐ [ ] Verified English translation of application as filed.
- ☒ [X] Priority document: Certified copy of German patent application No. 199 28 210.2, filed in Germany on June 19, 1999 from which priority is claimed under 35 U.S.C. § 119.
- ☐ [ ] Formal drawings: sheets
- ☒ [X] Information Disclosure Statement, PTO-1449, and cited references.
- ☐ [ ] Preliminary Amendment.
- ☐ [ ] Nucleotide and/or Amino Acid Sequence Submission, including:
  - ☐ [ ] Computer readable copy    ☐ [ ] Paper Copy    ☐ [ ] Verified Statement
- ☐ [ ] A Refund Request
- ☐ [ ] Request for Corrected Filing Receipt due to PTO error. Attached is a marked-up copy of Filing Receipt showing corrections.
- ☐ [ ] Other:

The following fees are being paid by:

- ☒ Our firm's check(s) enclosed herewith; or
- ☐ This authorization to charge our firm's **Deposit Account No. 50-1017 (Billing No. 204070.0174)**.
- ☒ Surcharge of **\$65.00** for late filing Declaration and Power of Attorney.
- ☐ Surcharge of **\$130** for late filing English translation.
- ☐ Extension of time fee of: Applicant(s) hereby petition(s) for a month extension of time to file response to Notice to File Missing Parts.
- ☒ Filing Fee of **\$390.00** calculated as follows:

			SMALL ENTITY			LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE:			BASIC FEE:	
			\$345.			\$690	
Total	25-20 =	5	X9	\$ 45.00	OR	X18	\$
Independent	3- 3 =		X39	\$	OR	X78	\$
Multiple Dependent Claims Present			\$130	\$	OR	\$260	\$
			<b>TOTAL</b>	<b>\$390.00</b>	OR	<b>TOTAL</b>	<b>\$</b>

- ☒ Any additional fees/overpayments are authorized to be charged/credited to the above Deposit Account.
- ☒ Any additional fees required under 37 C.F.R. § 1.16 or § 1.17.
- ☒ In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

**One additional copy of this paper is enclosed for accounting purposes.**

**CORRESPONDENCE ADDRESS**

October 30, 2000  
(Date)

By:

Mr. H. Schwane, Reg No 25,918

**GARY D. COLBY, Ph.D., J.D.**

Registration No. 40,961

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Enclosures